

NONEXISTENCE OF ARITHMETIC FAKE COMPACT HERMITIAN  
SYMMETRIC SPACES OF TYPES  $\mathbf{B}_n$ ,  $\mathbf{C}_n$ ,  $\mathbf{E}_6$  AND  $\mathbf{E}_7$

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**1. Introduction**

**1.1.** Let  $\overline{\mathcal{G}}$  be a noncompact connected real semi-simple Lie group with trivial center and with no nontrivial compact connected normal subgroups, and  $\mathfrak{g}$  be its Lie algebra. The group  $\text{Aut}(\overline{\mathcal{G}})$  ( $=\text{Aut}(\mathfrak{g})$ ) of automorphisms of  $\overline{\mathcal{G}}$  is a Lie group with finitely many connected components, and  $\overline{\mathcal{G}}$  is its identity component. We will denote the identity component of  $\text{Aut}(\overline{\mathcal{G}})$  in the *Zariski-topology* by  $\text{Int}(\overline{\mathcal{G}})$ . Let  $X$  be the symmetric space of  $\overline{\mathcal{G}}$  ( $X$  is the space of maximal compact subgroups of  $\overline{\mathcal{G}}$ ), and  $X_u$  be the compact dual of  $X$ . There is a natural identification of the group of isometries of  $X$  with  $\text{Aut}(\overline{\mathcal{G}})$ . We assume in this paper that  $X$  (and hence  $X_u$ ) is hermitian. Then every holomorphic automorphism of  $X$  is an isometry. The group  $\text{Hol}(X)$  of holomorphic automorphisms of  $X$  is a subgroup of finite index of the group  $\text{Aut}(\overline{\mathcal{G}})$  of isometries, and it is known (see [Ta], the remark in §5) that  $\text{Hol}(X) \cap \text{Int}(\overline{\mathcal{G}}) = \overline{\mathcal{G}}$ .

**1.2.** We will say that the quotient  $X/\Pi$  of  $X$  by a *torsion-free* cocompact discrete subgroup  $\Pi$  of  $\overline{\mathcal{G}}$  is a *fake compact hermitian symmetric space* if its Betti numbers are same as that of  $X_u$ ;  $X/\Pi$  is an *irreducible arithmetic fake compact hermitian symmetric space* if, further,  $\Pi$  is irreducible (i.e., no subgroup of  $\Pi$  of finite index is a direct product of two infinite normal subgroups) and it is an arithmetic subgroup of  $\overline{\mathcal{G}}$ . Any such space can be endowed with the structure of a smooth complex projective variety. Several such spaces have been constructed in our two earlier papers [PY1] and [PY2]. In [PY1] we have given a classification of “fake projective planes”, the first of which was constructed by David Mumford in [Mu] using  $p$ -adic uniformization. In [PY2] we have constructed four arithmetic fake  $\mathbf{P}_{\mathbb{C}}^4$ , four arithmetic fake Grassmannians  $\text{Gr}_{2,5}$ , and five irreducible arithmetic fake  $\mathbf{P}_{\mathbb{C}}^2 \times \mathbf{P}_{\mathbb{C}}^2$ . All these are Shimura varieties.

We note that if  $\overline{\mathcal{G}}$  contains an irreducible arithmetic subgroup, then the simple factors of its complexification are isomorphic to each other, see [Ma], Corollary 4.5 in Ch. IX. Also, if the real rank of  $\overline{\mathcal{G}}$  is at least 2, then by Margulis’ arithmeticity theorem ([Ma], Ch. IX), any irreducible discrete cocompact subgroup of  $\overline{\mathcal{G}}$  (in fact, any irreducible lattice) is arithmetic.

If  $\Pi$  is a torsion-free cocompact discrete subgroup of  $\overline{\mathcal{G}}$ , then there is a natural embedding of  $H^*(X_u, \mathbb{C})$  in  $H^*(X/\Pi, \mathbb{C})$ , see [B], 3.1 and 10.2, and hence  $X/\Pi$  is a fake compact hermitian symmetric space if and only if the natural homomorphism  $H^*(X_u, \mathbb{C}) \rightarrow H^*(X/\Pi, \mathbb{C})$  is an isomorphism.

**1.3.** Let  $\overline{\mathcal{G}}$ ,  $X$  and  $X_u$  be as above, and let  $\Pi$  be a torsion-free cocompact discrete subgroup of  $\overline{\mathcal{G}}$ . Let  $Z = X/\Pi$ . If  $Z$  is a fake compact hermitian symmetric space, then the Euler-Poincaré characteristic  $\chi(Z)$  of  $Z = X/\Pi$ , and so the Euler-Poincaré characteristic  $\chi(\Pi)$  of  $\Pi$  equals  $\chi(X_u)$ . As  $X$  has been assumed to be hermitian, the Euler-Poincaré characteristic of  $X_u$  is positive. On the other hand, it follows from Hirzebruch proportionality principle, see [S], Proposition 23, that the Euler-Poincaré characteristic of  $X/\Pi$  is positive if and only if the complex dimension of  $X$  is even. Using the results of [BP], we can easily conclude that there are only finitely many irreducible arithmetic fake compact hermitian symmetric spaces. It is of interest to determine them all.

**1.4.** Hermitian symmetric spaces have been classified by Élie Cartan; see [H], Ch. IX. We recall that the irreducible hermitian symmetric spaces are the symmetric spaces of Lie groups  $SU(n+1-m, m)$ ,  $SO(2, 2n-1)$ ,  $Sp(2n)$ ,  $SO(2, 2n-2)$ ,  $SO^*(2n)$ , an absolutely simple real Lie group of type  $E_6$  with Tits index  ${}^2E_{6,2}^{16'}$ , and an absolutely simple real Lie group of type  $E_7$  with Tits index  $E_{7,3}^{28}$  respectively (for Tits indices see Table II in [Ti1]). The complex dimensions of these spaces are  $(n+1-m)m$ ,  $2n-1$ ,  $n(n+1)/2$ ,  $2n-2$ ,  $n(n-1)/2$ , 16 and 27 respectively. The Lie groups listed above are of type  $A_n$ ,  $B_n$ ,  $C_n$ ,  $D_n$ ,  $D_n$ ,  $E_6$  and  $E_7$  respectively. We will say that an irreducible symmetric space is one of these types if it is the symmetric space of a simple Lie group of that type, and say that a locally hermitian symmetric space is of one of these types if its simply connected cover is a product of irreducible hermitian symmetric spaces of that type.

The following is the main theorem of this paper.

**Theorem.** *There does not exist an irreducible arithmetic fake compact hermitian symmetric space of type  $B_n$ ,  $C_n$ ,  $E_6$  or  $E_7$ .*

In the following subsection we will explain the strategy of the proof, and fix notation which will be used throughout the paper.

**1.5.** Let  $\overline{\mathcal{G}}$ ,  $X$ ,  $X_u$  be as above.  $X$  will be assumed to be a hermitian symmetric space of one of the following types  $B_n$ ,  $C_n$ ,  $E_6$  and  $E_7$ . Assume, if possible, that  $\overline{\mathcal{G}}$  contains a cocompact irreducible arithmetic subgroup  $\Pi$  whose orbifold Euler-Poincaré characteristic  $\chi(\Pi)$  equals  $\chi(X_u)$ . Then there exist a totally real number field  $k$ , a connected adjoint absolutely simple algebraic  $k$ -group  $\overline{G}$  of same type as  $X$ ,  $\overline{G}$  of  $k$ -rank 0 (by Godement criterion since  $\Pi$  is cocompact), real places  $v_1, \dots, v_r$  of  $k$  such that  $\overline{G}(k_v)$  is compact for every real place  $v$  different from  $v_1, \dots, v_r$ ,  $\overline{\mathcal{G}}$  is isomorphic to  $\prod_{j=1}^r \overline{G}(k_{v_j})^o$  (and will be identified with it), and  $\Pi$  is commensurable with an arithmetic subgroup of  $\overline{G}(k)$ . Let  $\pi : G \rightarrow \overline{G}$  be the simply connected covering of  $\overline{G}$  defined over  $k$ . The kernel of the isogeny  $\pi$  is the center  $C$  of the simply connected  $k$ -group  $G$ . If  $G$  is of type  $E_6$ , then it is an “outer  $k$ -form” of a split group (i.e., it is of type  ${}^2E_6$ ) since  $X$  is hermitian. In this case let  $\ell$  be the quadratic extension of  $k$  over which  $G$  is an “inner  $k$ -form”. Then  $\ell$  is totally complex.

*Description of  $C$ :* For a positive integer  $s$ , let  $\mu_s$  be the kernel of the endomorphism  $x \mapsto x^s$  of  $\mathrm{GL}_1$ . Then if  $G$  is of type  ${}^2E_6$ , its center  $C$  is  $k$ -isomorphic to the kernel of the norm map  $N_{\ell/k}$  from the algebraic group  $R_{\ell/k}(\mu_3)$ , obtained from  $\mu_3$  by Weil's restriction of scalars, to  $\mu_3$ . If  $G$  is of type  $B_n$ ,  $C_n$  or  $E_7$ , then  $C$  is isomorphic to  $\mu_2$ .

It is known, and easy to see using the above description of  $C$ , that for any real place  $v$  of  $k$ , the order of the kernel of the induced homomorphism  $G(k_v) \rightarrow \overline{G}(k_v)$  is 2 if  $G$  is not of type  ${}^2E_6$ , and of order 3 if it is of type  ${}^2E_6$ . Moreover, as  $G(k_v)$  is connected,  $\pi(G(k_v)) = \overline{G}(k_v)^o$ . Let  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$ , and let  $\tilde{\Pi}$  be the inverse image of  $\Pi$  in  $\mathcal{G}$ . Then the kernel of the homomorphism  $\pi : \mathcal{G} \rightarrow \overline{\mathcal{G}}$  is of order  $s^r$ , and hence the orbifold Euler-Poincaré characteristic  $\chi(\tilde{\Pi})$  of  $\tilde{\Pi}$  equals  $\chi(\Pi)/s^r = \chi(X_u)/s^r$ , where, here and in the sequel,  $s = 2$  if  $G$  is not of type  $E_6$ , and  $s = 3$  if  $G$  is of type  $E_6$ . Now let  $\Gamma$  be a maximal discrete subgroup of  $\mathcal{G}$  containing  $\tilde{\Pi}$ . Then the orbifold Euler-Poincaré characteristic  $\chi(\Gamma)$  of  $\Gamma$  is a submultiple of  $\chi(\tilde{\Pi}) = \chi(X_u)/s^r$ . Using the volume formula of [P], some number theoretic estimates, the Bruhat-Tits theory, and the Hasse principle for semi-simple groups (Proposition 7.1 of [PR]), we will prove that  $\mathcal{G}$  does not contain such a subgroup.

## 2. Preliminaries

**2.1.** We will use the notations introduced in 1.5. Thus  $k$  will be a totally real number field,  $G$  an absolutely simple simply connected algebraic  $k$ -group (of one of the following four types:  $B_n$ ,  $C_n$ ,  ${}^2E_6$ , and  $E_7$ ),  $C$  its center,  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$ . We will think of  $G(k)$  as a subgroup of  $\mathcal{G}$  in terms of its diagonal embedding.  $\Gamma$  is a maximal arithmetic subgroup of  $\mathcal{G}$  (arithmetic with respect to the  $k$ -structure on  $G$ ) whose orbifold Euler-Poincaré characteristic is a submultiple of  $\chi(X_u)/s^r$ . Then  $\Lambda := \Gamma \cap G(k)$  is a ‘‘principal’’ arithmetic subgroup, i.e., for every nonarchimedean place  $v$  of  $k$ , the closure  $P_v$  of  $\Lambda$  in  $G(k_v)$  is a parahoric subgroup,  $\Lambda = G(k) \cap \prod_{v \in V_f} P_v$ , and  $\Gamma$  is the normalizer of  $\Lambda$  in  $\mathcal{G}$ , see Proposition 1.4(iv) of [BP]. Let the ‘‘type’’  $\Theta_v$  of  $P_v$  be as in [BP], 2.2, and  $\Xi_{\Theta_v}$  be as in 2.8 there. If either  $P_v$  is hyperspecial, or  $G$  is of type  ${}^2E_6$  and it does not split over  $k_v$ , then  $\Xi_{\Theta_v}$  is trivial. The order of  $\Xi_{\Theta_v}$  is always a divisor of  $s$ .

In terms of the normalized Haar-measure  $\mu$  on  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$  used in [P] and [BP], and to be used in this paper,  $\chi(\Gamma) = \chi(X_u)\mu(\mathcal{G}/\Gamma)$  (see [BP], 4.2). Thus the condition that  $\chi(\Gamma)$  is a submultiple of  $\chi(X_u)/s^r$  is equivalent to the condition that  $\mu(\mathcal{G}/\Gamma)$  is a submultiple of  $1/s^r$ . We will prove that there does not exist such a  $\Gamma$ .

A comprehensive survey of the basic notions and the main results of the Bruhat-Tits theory of reductive groups over nonarchimedean local fields is given in [Ti2].

**2.2.** All unexplained notations are as in [BP] and [P]. Thus for a number field  $K$ ,  $D_K$  will denote the absolute value of its discriminant,  $h_K$  its class number, i.e., the order of its class group  $Cl(K)$ . We will denote by  $h_{K,s}$  the order of the subgroup of  $Cl(K)$  consisting of the elements of order dividing  $s$ , where, as in 1.5,  $s = 2$  if  $G$  is not of type  $E_6$ , and  $s = 3$  if  $G$  is of type  $E_6$ . Then  $h_{K,s} \leq h_K$ . We will denote by  $U_K$

the multiplicative-group of units of  $K$ , and by  $K_s$  the subgroup of  $K^\times$  consisting of the elements  $x$  such that for every normalized valuation  $v$  of  $K$ ,  $v(x) \in s\mathbb{Z}$ .

$V_f$  (resp.  $V_\infty$ ) will denote the set of nonarchimedean (resp. archimedean) places of  $k$ . As  $k$  admits at least  $r$  distinct real places, see 1.5,  $d := [k : \mathbb{Q}] \geq r$ . For  $v \in V_f$ ,  $q_v$  will denote the cardinality of the residue field  $\mathfrak{f}_v$  of  $k_v$ .

**2.3.** For a parahoric subgroup  $P_v$  of  $G(k_v)$ , we define  $e(P_v)$  and  $e'(P_v)$  by the following formulae (cf. Theorem 3.7 of [P]):

$$(1) \quad e(P_v) = \frac{q_v^{(\dim \overline{M}_v + \dim \overline{\mathcal{M}}_v)/2}}{\#\overline{M}_v(\mathfrak{f}_v)}.$$

$$(2) \quad e'(P_v) = e(P_v) \cdot \frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{q_v^{\dim \overline{\mathcal{M}}_v}} = q_v^{(\dim \overline{M}_v - \dim \overline{\mathcal{M}}_v)/2} \cdot \frac{\#\overline{\mathcal{M}}_v(\mathfrak{f}_v)}{\#\overline{M}_v(\mathfrak{f}_v)}.$$

**2.4.** Let  $m_1, \dots, m_n$  ( $m_1 < \dots < m_n$ ), where  $n$  is the absolute rank of  $G$ , be the exponents of the Weyl group of  $G$ . For type  $B_n$  and  $C_n$ ,  $m_j = 2j - 1$ ; for type  $E_6$ , the exponents are 1, 4, 5, 7, 8 and 11; and for type  $E_7$ , the exponents are 1, 5, 7, 9, 11, 13 and 17. Then

- if either  $G$  is *not* of type  ${}^2E_6$ , or  $v$  splits in  $\ell$ ,

$$e'(P_v) = e(P_v) \prod_{j=1}^n \left(1 - \frac{1}{q_v^{m_j+1}}\right);$$

- if  $G$  is of type  ${}^2E_6$  and  $v$  does not split in  $\ell$ ,

$$e'(P_v) = e(P_v) \left(1 - \frac{1}{q_v^2}\right) \left(1 + \frac{1}{q_v^5}\right) \left(1 - \frac{1}{q_v^6}\right) \left(1 - \frac{1}{q_v^8}\right) \left(1 + \frac{1}{q_v^9}\right) \left(1 - \frac{1}{q_v^{12}}\right),$$

or

$$e'(P_v) = e(P_v) \left(1 - \frac{1}{q_v^2}\right) \left(1 - \frac{1}{q_v^6}\right) \left(1 - \frac{1}{q_v^8}\right) \left(1 - \frac{1}{q_v^{12}}\right)$$

according as  $v$  *does not* or *does* ramify in  $\ell$ .

**2.5.** It is obvious that  $e'(P_v) < e(P_v)$ . It is not difficult to check using (2) that *for all  $v \in V_f$ , and an arbitrary parahoric subgroup  $P_v$  of  $G(k_v)$ ,  $e'(P_v)$  is an integer.*

**2.6.** Now we will use the volume formula of [P] to write down the precise value of  $\mu(\mathcal{G}/\Lambda)$ . As the Tamagawa number  $\tau_k(G)$  of  $G$  equals 1, Theorem 3.7 of [P] (recalled in 3.7 of [BP]), for  $S = V_\infty$ , provides us the following if  $G$  is *not* of type  ${}^2E_6$ ,

$$(3) \quad \mu(\mathcal{G}/\Lambda) = D_k^{\frac{1}{2} \dim G} \left( \prod_{j=1}^n \frac{m_j!}{(2\pi)^{m_j+1}} \right)^d \mathcal{E},$$

and if  $G$  is of type  ${}^2E_6$ ,

$$(4) \quad \mu(\mathcal{G}/\Lambda) = (D_k D_\ell)^{13} \left( \frac{4!5!7!8!11!}{(2\pi)^{42}} \right)^d \mathcal{E},$$

where  $n$  is the absolute rank of  $G$ , and  $\mathcal{E} = \prod_{v \in V_f} e(P_v)$ , with  $e(P_v)$  as in 2.1.

**2.7.** Let  $\zeta_k$  be the Dedekind zeta-function of  $k$ , and  $L_{\ell|k}$  be the Hecke  $L$ -function associated to the quadratic Dirichlet character of  $\ell/k$ . Then

$$\zeta_k(a) = \prod_{v \in V_f} \left(1 - \frac{1}{q_v^a}\right)^{-1};$$

$$L_{\ell|k}(a) = \prod' \left(1 - \frac{1}{q_v^a}\right)^{-1} \prod'' \left(1 + \frac{1}{q_v^a}\right)^{-1},$$

where  $\prod'$  is the product over the nonarchimedean places  $v$  of  $k$  which split in  $\ell$ , and  $\prod''$  is the product over all the other nonarchimedean places  $v$  which do not ramify in  $\ell$ . Hence the Euler product  $\mathcal{E}$  appearing in (3) can be rewritten as

$$(5) \quad \mathcal{E} = \prod_{v \in V_f} e'(P_v) \prod_{j=1}^n \zeta_k(m_j + 1),$$

and the one appearing in (4) can be rewritten as

$$(6) \quad \mathcal{E} = \prod_{v \in V_f} e'(P_v) \cdot \zeta_k(2) L_{\ell|k}(5) \zeta_k(6) \zeta_k(8) L_{\ell|k}(9) \zeta_k(12).$$

**2.8.** If  $G$  is *not* of type  ${}^2E_6$ , let  $\mathcal{J}$  be the set of all  $v \in V_f$  such that  $P_v$  is not a hyperspecial parahoric subgroup of  $G(k_v)$ , and  $\mathcal{J}'$  be the empty set. If  $G$  is of type  ${}^2E_6$ , let  $\mathcal{J}$  be the set of all  $v \in V_f$  which splits in  $\ell$  and  $P_v$  is not a hyperspecial parahoric subgroup, and let  $\mathcal{J}'$  be the set of  $v \in V_f$  which does not split in  $\ell$ , and either  $P_v$  is not a hyperspecial parahoric subgroup of  $G(k_v)$  but  $v$  is unramified over  $\ell$ , or  $v$  is ramified in  $\ell$  and  $P_v$  is not a special parahoric subgroup. Then for all nonarchimedean  $v \notin \mathcal{J}$ ,  $\Xi_{\Theta_v}$  is trivial; if  $v \notin \mathcal{J} \cup \mathcal{J}'$ ,  $e'(P_v) = 1$ , and  $e'(P_v) > s$  if  $v \in \mathcal{J}$ . Therefore,  $1 \leq e'(P_v) < e(P_v)$ , and  $\mathcal{E} = \prod_{v \in V_f} e(P_v) > s^{\#\mathcal{J}}$ . Hence, if  $G$  is not of type  ${}^2E_6$ ,

$$(7) \quad \mu(\mathcal{G}/\Lambda) > D_k^{\frac{1}{2} \dim G} \left( \prod_{j=1}^n \frac{m_j!}{(2\pi)^{m_j+1}} \right)^d 2^{\#\mathcal{J}},$$

and if  $G$  is of type  ${}^2E_6$ ,

$$(8) \quad \mu(\mathcal{G}/\Lambda) > (D_k D_\ell)^{13} \left( \frac{4!5!7!8!11!}{(2\pi)^{42}} \right)^d 3^{\#\mathcal{J}}.$$

**2.9.** If  $G$  is not of type  ${}^2E_6$ , let

$$(9) \quad \mathcal{R} = D_k^{\frac{1}{2} \dim G} \left( \prod_{j=1}^n \frac{m_j!}{(2\pi)^{m_j+1}} \right)^d \prod_{j=1}^n \zeta_k(m_j + 1),$$

and if  $G$  is of type  ${}^2E_6$ , let

$$(10) \quad \mathcal{R} = (D_k D_\ell)^{13} \left( \frac{4!5!7!8!11!}{(2\pi)^{42}} \right)^d \zeta_k(2) L_{\ell|k}(5) \zeta_k(6) \zeta_k(8) L_{\ell|k}(9) \zeta_k(12).$$

Then

$$(11) \quad \mu(\mathcal{G}/\Lambda) = \mathcal{R} \prod_{v \in \mathcal{J} \cup \mathcal{J}'} e'(P_v).$$

As  $e'(P_v)$  is an integer for all  $v$  (see 2.5), we conclude that  $\mu(\mathcal{G}/\Lambda)$  is an integral multiple of  $\mathcal{R}$ .

Using the functional equations

$$\zeta_k(2a) = D_k^{\frac{1}{2}-2a} \left( \frac{(-1)^a 2^{2a-1} \pi^{2a}}{(2a-1)!} \right)^d \zeta_k(1-2a),$$

and

$$L_{\ell|k}(2a+1) = \left( \frac{D_k}{D_\ell} \right)^{2a+\frac{1}{2}} \left( \frac{(-1)^a 2^{2a} \pi^{2a+1}}{(2a)!} \right)^d L_{\ell|k}(-2a),$$

for every positive integer  $a$ , and the fact that  $\dim G = n + 2 \sum m_j$ , we find that if  $G$  is not of type  ${}^2E_6$ ,

$$(12) \quad \mathcal{R} = 2^{-dn} \left| \prod_{j=1}^n \zeta_k(-m_j) \right|,$$

and if  $G$  is of type  ${}^2E_6$ ,

$$(13) \quad \mathcal{R} = 2^{-6d} \zeta_k(-1) L_{\ell|k}(-4) \zeta_k(-5) \zeta_k(-7) L_{\ell|k}(-8) \zeta_k(-11).$$

**2.10.** As  $\chi(\Lambda) = \chi(X_u) \mu(\mathcal{G}/\Lambda)$  ([BP], 4.2), we have the following

$$(14) \quad \chi(\Gamma) = \frac{\chi(\Lambda)}{[\Gamma : \Lambda]} = \frac{\chi(X_u) \mu(\mathcal{G}/\Lambda)}{[\Gamma : \Lambda]}.$$

Proposition 2.9 of [BP] applied to  $G' = G$  and  $\Gamma' = \Gamma$  implies that  $[\Gamma : \Lambda]$  is a power of the prime number  $s$ . Now since  $\mu(\mathcal{G}/\Lambda)$  is an integral multiple of  $\mathcal{R}$ , we conclude from (10) that if  $\chi(\Gamma)$  is a submultiple of  $\chi(X_u)$ , then the numerator of the rational number  $\mathcal{R}$  is a power of  $s$ . We state this as the following proposition.

**Proposition 1.** *If the orbifold Euler-Poincaré characteristic of  $\Gamma$  is a submultiple of  $\chi(X_u)$ , then the numerator of the rational number  $\mathcal{R}$  is a power of  $s$ .*

**2.11.** In this paragraph we assume that  $G$  is not of type  ${}^2E_6$ . Then  $C \cong \mu_2$ , and the Galois cohomology  $H^1(k, C) \cong k^\times/k^{\times 2}$ . The order of the first term of the short exact sequence of Proposition 2.9 of [BP], for  $G' = G$  and  $S = V_\infty$ , is  $2^{r-1}$ . From the proof of Proposition 0.12 of [BP], we easily conclude that  $\#k_2/k^{\times 2} \leq h_{k,2} 2^d$ . Now we can adapt the argument used to prove Proposition 5.1, and the argument in 5.5, of [BP], for  $S = V_\infty$  and  $G' = G$ , to derive the following bound:

$$(15) \quad [\Gamma : \Lambda] \leq 2^{d+r-1+\#\mathcal{J}} h_{k,2}.$$

**2.12.** We shall assume now that  $G$  is of type  ${}^2E_6$ . As the norm map  $N_{\ell/k} : \mu_3(\ell) \rightarrow \mu_3(k)$  is onto, the Galois cohomology group  $H^1(k, C)$  is isomorphic to the kernel of the homomorphism  $\ell^\times/\ell^{\times 3} \rightarrow k^\times/k^{\times 3}$  induced by the norm map. We shall denote this kernel by  $(\ell^\times/\ell^{\times 3})_\bullet$ .

By Dirichlet's unit theorem,  $U_k \cong \{\pm 1\} \times \mathbb{Z}^{d-1}$ , and  $U_\ell \cong \mu(\ell) \times \mathbb{Z}^{d-1}$ , where  $\mu(\ell)$  is the finite cyclic group of roots of unity in  $\ell$ . Hence,  $U_k/U_k^3 \cong (\mathbb{Z}/3\mathbb{Z})^{d-1}$ , and  $U_\ell/U_\ell^3 \cong \mu(\ell)_3 \times (\mathbb{Z}/3\mathbb{Z})^{d-1}$ , where  $\mu(\ell)_3$  is the group of cube-roots of unity in  $\ell$ . Now we observe that  $N_{\ell/k}(U_\ell) \supset N_{\ell/k}(U_k) = U_k^2$ , which implies that the homomorphism  $U_\ell/U_\ell^3 \rightarrow U_k/U_k^3$ , induced by the norm map, is onto. Therefore, the order of the kernel  $(U_\ell/U_\ell^3)_\bullet$  of this homomorphism equals  $\#\mu(\ell)_3$ .

The short exact sequence (4) in the proof of Proposition 0.12 of [BP] gives us the following exact sequence:

$$1 \rightarrow (U_\ell/U_\ell^3)_\bullet \rightarrow (\ell_3/\ell^{\times 3})_\bullet \rightarrow (\mathcal{P} \cap \mathcal{J}^3)/\mathcal{P}^3,$$

where  $(\ell_3/\ell^{\times 3})_\bullet = (\ell_3/\ell^{\times 3}) \cap (\ell^\times/\ell^{\times 3})_\bullet$ ,  $\mathcal{P}$  is the group of all fractional principal ideals of  $\ell$ , and  $\mathcal{J}$  the group of all fractional ideals (we use multiplicative notation for the group operation in both  $\mathcal{J}$  and  $\mathcal{P}$ ). Since the order of the last group of the above exact sequence is  $h_{\ell,3}$ , see (5) in the proof of Proposition 0.12 of [BP], we conclude that

$$\#(\ell_3/\ell^{\times 3})_\bullet \leq \#\mu(\ell)_3 \cdot h_{\ell,3}.$$

Now we note that the order of the first term of the short exact sequence of Proposition 2.9 of [BP], for  $G' = G$  and  $S = V_\infty$ , is  $3^r/\#\mu(\ell)_3$ .

Using the above observations, together with Proposition 2.9 and Lemma 5.4 of [BP], and a close look at the arguments in 5.3 and 5.5 of [BP] for  $S = V_\infty$  and  $G$  as above, we can derive the following upper bound:

$$(16) \quad [\Gamma : \Lambda] \leq 3^{r+\#\mathcal{J}} h_{\ell,3}.$$

**2.13.** Since  $\mu(\mathcal{G}/\Gamma) = \mu(\mathcal{G}/\Lambda)/[\Gamma : \Lambda]$  is a submultiple of  $1/s^r$  (see 2.1), we conclude that  $\mu(\mathcal{G}/\Lambda) \leq [\Gamma : \Lambda]/s^r$ . From the bound for  $[\Gamma : \Lambda]$  derived in 2.11 and 2.12 we obtain that if  $G$  is not of type  ${}^2E_6$ , then

$$(17) \quad \mu(\mathcal{G}/\Lambda) \leq 2^{d-1+\#\mathcal{J}} h_{k,2},$$

and if  $G$  is of type  ${}^2E_6$ ,

$$(18) \quad \mu(\mathcal{G}/\Lambda) \leq 3^{\#\mathcal{J}} h_{\ell,3}.$$

Now combining these with (7) and (8) respectively, we obtain

$$(19) \quad D_k^{\frac{1}{2} \dim G} < 2^{d-1} h_{k,2} \left( \prod_{j=1}^n \frac{(2\pi)^{m_j+1}}{m_j!} \right)^d,$$

if  $G$  is not of type  ${}^2E_6$ , and

$$(20) \quad (D_k D_\ell)^{13} < h_{\ell,3} \left( \frac{(2\pi)^{42}}{4!5!7!8!11!} \right)^d$$

if  $G$  is of type  ${}^2E_6$ .

### 3. Discriminant bounds

We will recall discriminant bounds required in later discussions. We define  $M_r(d) = \min_K D_K^{1/d}$ , where the minimum is taken over all totally real number fields  $K$  of degree  $d$ . Similarly, we define  $M_c(d) = \min_K D_K^{1/d}$ , by taking the minimum over all totally complex number fields  $K$  of degree  $d$ .

The precise values of  $M_r(d), M_c(d)$  for low values of  $d$  are given in the following table (cf. [N]).

$d$	2	3	4	5	6	7	8
$M_r(d)^d$	5	49	725	14641	300125	20134393	282300416
$M_c(d)^d$	3		117		9747		1257728

The following proposition can be proved in the same way as Proposition 2 in [PY2] has been proved.

**Proposition 2.** Let  $k$  and  $\ell$  be a totally real number field and a totally imaginary number field of degree  $d$  and  $2d$  respectively.

$\forall d \geq$	2	3	4	5	6	7	8
$D_k^{1/d} >$	2.23	3.65	5.18	6.8	8.18	11.05	11.38
$D_\ell^{1/2d} >$	1.73		3.28		4.62		5.78

### 4. $G$ of type $B_n$ or $C_n$

**4.1.** In this section we assume that  $G$  is either of type  $B_n$  or  $C_n$ . Then its dimension is  $n(2n+1)$ . The  $j$ -th exponent  $m_j = 2j-1$ , and the complex dimension of the symmetric space  $X$  of  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$  is  $r(2n-1)$  if  $G$  is of type  $B_n$ , and is  $rn(n+1)/2$  if  $G$  is of type  $C_n$ . From (19) we obtain

$$(21) \quad D_k^{1/d} < f_1(n, d, h_{k,2}) := \left[ \left\{ 2 \prod_{j=1}^n \frac{(2\pi)^{2j}}{(2j-1)!} \right\}^d \cdot \frac{h_{k,2}}{2} \right]^{2/dn(2n+1)}.$$

According to the Brauer-Siegel Theorem, for a totally real number field  $k$ , and all real  $\delta > 0$ ,

$$h_k R_k \leq 2^{1-d} \delta (1+\delta) \Gamma((1+\delta)/2)^d (\pi^{-d} D_k)^{(1+\delta)/2} \zeta_k(1+\delta),$$

where  $R_k$  is the regulator of  $k$ . Now from (21) we get the following bound:

$$(22) \quad D_k^{1/d} < f_2(n, d, R_k, \delta) := \left[ \left\{ \frac{\Gamma((1+\delta)/2) \zeta(1+\delta)}{\pi^{(1+\delta)/2}} \prod_{j=1}^n \frac{(2\pi)^{2j}}{(2j-1)!} \right\} \cdot \left\{ \frac{\delta(1+\delta)}{R_k} \right\}^{1/d} \right]^{2/(2n^2+n-1-\delta)},$$

since  $\zeta_k(1+\delta) \leq \zeta(1+\delta)^d$ , where  $\zeta = \zeta_{\mathbb{Q}}$ . Using the lower bound  $R_k \geq 0.04 e^{0.46d}$ , for a totally real number field  $k$ , due to R. Zimmert [Z], we get

$$(23) \quad D_k^{1/d} < f_3(n, d, \delta)$$



$$:= \left[ \left\{ \frac{\Gamma((1+\delta)/2)\zeta(1+\delta)}{\pi^{(1+\delta)/2}e^{0.46}} \prod_{j=1}^n \frac{(2\pi)^{2j}}{(2j-1)!} \right\} \cdot \{25\delta(1+\delta)\}^{1/d} \right]^{2/(2n^2+n-1-\delta)}.$$

**4.2.** It is obvious that for fixed  $n$  and  $\delta \in [0.04, 9]$ ,  $f_3(n, d, \delta)$  decreases as  $d$  increases. Now we observe that for  $n \geq 9$ ,  $(2n-1)! > (2\pi)^{2n}$ . From this it is easy to see that if for a given  $d, \delta$ , and  $n \geq 8$ ,  $f_3(n, d, \delta) \geq 1$ , then  $f_3(n+1, d, \delta) < f_3(n, d, \delta)$ , and if  $f_3(n, d, \delta) < 1$ , then  $f_3(n+1, d, \delta) < 1$ . In particular, if for given  $d$ , and  $\delta \in [0.04, 9]$ ,  $f_3(8, d, \delta) < c$ , with  $c \geq 1$ , then  $f_3(n, d', \delta) < c$  for all  $n \geq 8$  and  $d' \geq d$ .

We obtain by a direct computation the following upper bound for the value of  $f_3(n, 2, 3)$  for  $6 \leq n \leq 14$ .

$$\begin{array}{cccccccccc} n & 14 & 13 & 12 & 11 & 10 & 9 & 8 & 7 & 6 \\ f_3(n, 2, 3) < & 1 & 1.1 & 1.2 & 1.3 & 1.4 & 1.6 & 1.8 & 2.1 & 2.4 \end{array}$$

From the bounds provided by the above table and the properties of  $f_3$  we conclude that  $f_3(n, d, 3) < 2.1$  for all  $n \geq 7$ , and  $d \geq 2$ , and we conclude from Proposition 2 that unless  $k = \mathbb{Q}$  (i.e.,  $d = 1$ ),  $n \leq 6$ .

We assert now that  $n \leq 13$ . To prove this, we can assume, in view of the result established in the preceding paragraph, that  $k = \mathbb{Q}$ . By a direct computation we see that  $f_1(14, 1, 1) < 1$ . Hence,  $f_1(n, 1, 1) < 1$  for all  $n \geq 14$ . As  $D_{\mathbb{Q}} = 1$ , from bound (21) we conclude that  $n \leq 13$ .

We will now assume that  $d \geq 2$  and consider each of the possible cases  $2 \leq n \leq 6$  separately.

- $n = 6$ : As  $D_k^{1/d} < f_3(6, 3, 2.1) < 2.5$ , from Proposition 2 we conclude that if  $n = 6$ ,  $d < 3$ . If  $d = 2$ ,  $D_k^{1/d} < f_3(6, 2, 1.54) < 2.36$ . Therefore,  $D_k < 6$ , which implies that  $k = \mathbb{Q}(\sqrt{5})$  is the only possibility.
- $n = 5$ : As  $D_k^{1/d} < f_3(5, 3, 1.8) < 2.9$ , from Proposition 2 we conclude that if  $n = 5$ ,  $d < 3$ . If  $d = 2$ ,  $D_k^{1/d} < f_3(5, 2, 1.3) < 2.9$ . Therefore,  $D_k < 9$ . So there are two possible real quadratic fields  $k$ , their discriminants are 5 and 8. Both the fields have class number 1, and we use the bound (21) to obtain  $D_k^{1/d} < f_1(5, 2, 1) < 2.73$ . So only  $D_k = 5$  can occur.
- $n = 4$ : As  $D_k^{1/d} < f_3(4, 3, 1.3) < 3.61$ , from Proposition 2 we conclude that if  $n = 4$ ,  $d < 3$ . Let us assume that  $d = 2$ . Then since  $D_k^{1/d} < f_3(4, 2, 1.1) < 3.76$ ,  $D_k < 15$  and so the possible values of  $D_k$  are 5, 8, 12 or 13. The quadratic fields with these  $D_k$  have class number 1. Now from bound (21) we obtain  $D_k^{1/d} < f_1(4, 2, 1) < 3.4$ . Hence,  $D_k < 12$ , and only  $D_k = 5, 8$  can occur.
- $n = 3$ : As  $D_k^{1/d} < f_3(3, 4, 1.3) < 5.1$ , from Proposition 2 we conclude that if  $n = 3$ ,  $d < 4$ . Now since  $D_k^{1/d} < f_3(3, 3, 1.13) < 5.21$ , if  $d = 3 = n$ ,  $D_k < 142$  from which we infer that  $D_k = 49$  or 81. Since  $D_k^{1/d} < f_3(3, 2, 0.8) < 5.6$ , if  $d = 2$  (and  $n = 3$ ),  $D_k < 32$ , and in this case the possible values of  $D_k$  are 5, 8, 12, 13, 17, 21, 24, 28 or 29. The quadratic fields with these discriminants have class number 1, and we

use bound (21) to obtain  $D_k^{1/d} < f_1(3, 2, 1) < 4.52$ . Hence,  $D_k < 21$  and only  $D_k = 5, 8, 12, 13, 17$  can occur.

•  $n = 2$ : As  $D_k^{1/d} < f_3(2, 7, 1.1) < 9$ , Proposition 2 implies that  $d \leq 6$ .

$n = 2$  and  $d = 6$ : As  $D_k^{1/d} < f_3(2, 6, 1) < 9$ ,  $D_k < 531441$ . One can check from the table in [1] that  $h_k = 1$  for all the five number fields satisfying this bound. We now use bound (21) to obtain  $D_k^{1/d} < f_1(2, 6, 1) < 7.2$ , which contradicts Proposition 2.

$n = 2$  and  $d = 5$ : As  $D_k^{1/d} < f_3(2, 5, 1) < 9.3$ ,  $D_k < 69569$ . Again, one can check from the table in [1] that there are five such number fields and the class number of each of them is 1. Now we use bound (21) to obtain  $D_k^{1/d} < f_1(2, 5, 1) < 7.1$ . Hence,  $D_k < 18043$ . From [1] we find that  $D_k = 14641$  is the only possibility.

$n = 2$  and  $d = 4$ : As  $D_k^{1/d} < f_3(2, 4, 0.92) < 9.74$ ,  $D_k < 9000$ . According to [1], there are 45 totally real quartic number fields with discriminant  $< 9000$ , all of them have class number 1. We use bound (21) to obtain  $D_k^{1/d} < f_1(2, 4, 1) < 7.037$ . Hence,  $D_k < 2453$ . We find from [1] that there are eight totally real quartic number fields  $k$  with  $D_k < 2453$ . Their discriminants are

$$725, 1125, 1600, 1957, 2000, 2048, 2225, 2304.$$

$n = 2$  and  $d = 3$ : As  $D_k^{1/d} < f_3(2, 3, 0.78) < 10.5$ ,  $D_k < 1158$ . From table B.4 of [C] we find that there are altogether 31 totally real cubics satisfying this discriminant bound. Each of these fields have class number 1. We use bound (21) to obtain  $D_k^{1/d} < f_1(2, 3, 1) < 6.96$ , which implies that  $D_k < 338$ . There are eight real cubic number fields satisfying this bound. The values of  $D_k$  are

$$49, 81, 148, 169, 229, 257, 316, 321.$$

$n = 2$  and  $d = 2$ : As  $D_k^{1/d} < f_3(2, 2, 0.52) < 12$ ,  $D_k < 144$ . From table B.2 of totally real quadratic number fields given in [C], we check that the class number of all these fields are bounded from above by 2. Hence,  $D_k^{1/d} < f_1(2, 2, 2) < 7.285$ . So  $D_k < 53$ . Among the real quadratic fields with  $D_k < 53$ , there is only one field whose class number is 2, it is the field with  $D_k = 40$ . All the rest have class number 1, and from bound (21) we conclude that  $D_k^{1/d} < f_1(2, 2, 1) < 6.8$ , i.e.,  $D_k < 47$ . Therefore, the following is the list of the possible values of  $D_k$ :

$$5, 8, 12, 13, 17, 21, 24, 28, 29, 33, 37, 40, 41, 44.$$

To summarize, for  $G$  of type  $B_n$  or  $C_n$ , the possible  $n$ ,  $d$  and  $D_k$  are given in the following table.

$n$	$d$	$D_k$
$2, \dots, 13$	1	1
6	2	5
5	2	5
4	2	5, 8
3	3	49, 81
3	2	5, 8, 12, 13, 17
2	5	14641
2	4	725, 1125, 1600, 1957, 2000, 2048, 2225, 2304
2	3	49, 81, 148, 169, 229, 257, 316, 321
2	2	5, 8, 12, 13, 17, 21, 24, 28, 29, 33, 37, 40, 41, 44.

**4.3.** We will show that none of the possibilities listed in the above table actually give rise to an arithmetic fake compact hermitian symmetric space of type  $B_n$  or  $C_n$ . For this we recall first of all that  $\overline{G}$ , and so also  $G$ , are anisotropic over  $k$  (1.5). Now we observe that if  $G$  is a group of type  $B_n$  ( $n \geq 2$ ), then it is  $k$ -isotropic if and only if it is isotropic at all real places of  $k$  (this is an immediate consequence of the classical Hasse principle for quadratic forms which says that a quadratic form over  $k$  is isotropic if and only if it is isotropic at every place of  $k$ , and the well-known fact that a quadratic form of dimension  $> 4$  is isotropic at every nonarchimedean place). Also, a  $k$ -group of type  $C_n$  ( $n \geq 2$ ) is isotropic if it is isotropic at all the real places of  $k$  (this is known, and follows, for example, from Proposition 7.1 of [PR]). These results imply that if  $d = 1$ , i.e., if  $k = \mathbb{Q}$ , then  $G$  is isotropic, and so  $k = \mathbb{Q}$  is not possible.

Now let us take up the case where  $d = 2$ , i.e.,  $k$  is a real quadratic field, and  $n = 2, 5$  or  $6$ . Then for any real place  $v$  of  $k$  where  $G$  is isotropic, the complex dimension of the symmetric space of  $G(k_v)$  is odd (recall from 1.4 that the complex dimension of the symmetric space of  $G(k_v)$  is  $2n - 1$  if  $G$  is of type  $B_n$ , and it is  $n(n + 1)/2$  if  $G$  is of type  $C_n$ ). But as the complex dimension of the hermitian symmetric space  $X$  is even (since the orbifold Euler-Poincaré characteristic of  $\Gamma$  is positive, see 1.3), we conclude that  $G$  must be isotropic at both the real places of  $k$  (note that  $G$  is anisotropic at a place  $v$  of  $k$  if and only if  $G(k_v)$  is compact). From this observation we conclude that  $G$  is  $k$ -isotropic also in case  $d = 2$ , and  $n = 2, 5$  or  $6$ . Therefore these possibilities do not occur.

Now we will rule out the case where  $n = 2$ ,  $d = 5$ , and  $D_k = 14641$ . In this case,  $k = \mathbb{Q}[x]/(x^5 - x^4 - 4x^3 + 3x^2 + 3x - 1)$ . The class number of  $k$  is 1. It is easy to see that the cardinality  $q_v$  of the residue field of  $k$  at any nonarchimedean place  $v$  is at least 4.

Since  $\mu(\mathcal{G}/\Gamma)$  is a submultiple of  $1/2^r$  (2.1), and  $[\Gamma : \Lambda] = 2^m$ , where  $m \leq 4 + r + \#\mathcal{J}$ , see (15), using (3) we obtain

$$(24) \quad 1 \geq 2^r \mu(\mathcal{G}/\Gamma) = 2^r \frac{\mu(\mathcal{G}/\Lambda)}{[\Gamma : \Lambda]} > \frac{2^r}{[\Gamma : \Lambda]} \left( \frac{6D_k}{(2\pi)^6} \right)^5 \mathcal{E} > 2^{-4-\#\mathcal{J}} \left( \frac{87846}{(2\pi)^6} \right)^5 \prod_{v \in \mathcal{J}} e(P_v),$$

where  $\mathcal{E} = \prod_{v \in V_f} e(P_v)$ , with  $e(P_v)$  as in 2.1.

The group  $G$  is a simply connected  $k$ -anisotropic group of type  $C_2$ . Such a group is described in terms of a quaternion division algebra  $D$ , with center  $k$  (see [Ti1]). Since the symmetric space  $X$  is a complex analytic space of even complex dimension, and  $d = 5$ , we conclude that  $G$  is anisotropic at an odd number of real places of  $k$ . Hence the quaternion division algebra  $D$  ramifies at an odd number of real places of  $k$ . Since a quaternion division algebra ramifies at an even number of places, we infer that there is at least one nonarchimedean place where  $D$  ramifies. At such a place,  $G$  is of rank 1, so such a place lies in  $\mathcal{T}$ . This shows that  $\mathcal{T}$  is nonempty. For  $v \in \mathcal{T}$ ,  $e(P_v)$  equals either  $q_v^6/(q_v^4 - 1)$ , or  $q_v^6/(q_v + 1)(q_v^2 - 1)$ , or  $q_v^6/(q_v^2 - 1)$ . All these numbers are larger than  $q_v^2$ . Now as  $q_v \geq 4$ , and  $\mathcal{T}$  is nonempty, from (24) we conclude that

$$1 \geq 2^r \mu(G/\Gamma) > 2^{-4-\#\mathcal{T}} \left( \frac{87846}{(2\pi)^6} \right)^5 16^{\#\mathcal{T}} > \frac{1}{2} \left( \frac{87846}{(2\pi)^6} \right)^5 > 1,$$

which is absurd.

**4.4.** To rule out the remaining cases listed in the table in 4.2, we compute the value of  $\mathcal{R}$  ( $\mathcal{R}$  as in (12)) in each case. These values are given below. It turns out that in none of the remaining cases the numerator of  $\mathcal{R}$  is a power of 2 and Proposition 1 then eliminates these cases.

$n$	$d$	$k$	$D_k$	$\zeta_k(-1)$	$\zeta_k(-3)$	$\zeta_k(-5)$	$\zeta(-7)$	$\mathcal{R}$
4	2	$x^2 - 5$	5	1/30	1/60	67/630	361/120	24187/34836480000
4	2	$x^2 - 2$	8	1/12	11/120	361/252	24611/240	97730281/22295347200.

$n$	$d$	$k$	$D_k$	$\zeta_k(-1)$	$\zeta_k(-3)$	$\zeta_k(-5)$	$\mathcal{R}$
3	3	$x^3 - x^2 - 2x + 1$	49	-1/21	79/210	-7393/63	584047/142248960
3	3	$x^3 - 3x - 1$	81	-1/9	199/90	-50353/27	10020247/11197440
3	2	$x^2 - 17$	17	1/3	41/30	5791/63	237431/362880
3	2	$x^2 - 13$	13	1/6	29/60	33463/1638	970427/37739520
3	2	$x^2 - 3$	12	1/6	23/60	1681/126	38663/2903040
3	2	$x^2 - 2$	8	1/12	11/120	361/252	3971/23224320
3	2	$x^2 - 5$	5	1/30	1/60	67/630	67/72576000

$n$	$d$	$k$	$D_k$	$\zeta_k(-1)$	$\zeta_k(-3)$	$\mathcal{R}$
2	4	$x^4 - 4x^2 + 1$	2304	1	22011/10	22011/2560
2	4	$x^4 - x^3 - 5x^2 + 2x + 4$	2304	4/5	9202/5	4601/800
2	4	$x^4 - 4x^2 + 2$	2048	5/6	87439/60	87439/18432
2	4	$x^4 - 5x^2 + 5$	2000	2/3	3793/3	3793/1152
2	4	$x^4 - 4x^2 - x + 1$	1957	2/3	3541/3	3541/1152
2	4	$x^4 - 6x^2 + 4$	1600	7/15	17347/30	121429/115200
2	4	$x^4 - x^3 - 4x^2 + 4x + 1$	1125	4/15	2522/15	1261/7200
2	4	$x^4 - x^3 - 3x^2 + x + 1$	725	2/15	541/15	541/28800
2	3	$x^3 - x^2 - 4x + 1$	321	-1	555/2	555/128
2	3	$x^3 - x^2 - 4x + 2$	316	-4/3	874/3	437/72
2	3	$x^3 - x^2 - 4x + 3$	257	-2/3	1891/15	1891/1440
2	3	$x^3 - 4x - 1$	229	-2/3	1333/15	1333/1440
2	3	$x^3 - x^2 - 4x - 1$	169	-1/3	11227/390	11227/74880
2	3	$x^3 - x^2 - 3x + 1$	148	-1/3	577/30	577/5760
2	3	$x^3 - 3x - 1$	81	-1/9	199/90	199/51840
2	3	$x^3 - x^2 - 2x + 1$	49	1/21	79/210	79/282240.

## 5. $G$ of type ${}^2E_6$

**5.1.** In this section  $G$  is of type  ${}^2E_6$ . Its dimension is 78 and the complex dimension of the symmetric space of  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$  is  $16r$ . Let

$$A = \frac{(2\pi)^{42}}{4!5!7!8!11!}.$$

The Brauer-Siegel Theorem for the totally complex number field  $\ell$  asserts that for all real  $\delta > 0$ ,

$$(25) \quad h_\ell R_\ell \leq w_\ell \delta (1 + \delta) \Gamma(1 + \delta)^d ((2\pi)^{-2d} D_\ell)^{(1+\delta)/2} \zeta_\ell(1 + \delta),$$

where  $R_\ell$  is the regulator of  $\ell$  and  $w_\ell$  is the number of roots of unity contained in  $\ell$ . Using this, from bound (20) we obtain

$$(D_k D_\ell)^{13} < h_\ell A^d \leq \frac{\delta(1 + \delta) A^d \Gamma(1 + \delta)^d D_\ell^{(1+\delta)/2} \zeta_\ell(1 + \delta)}{(R_\ell/w_\ell)(2\pi)^{d(1+\delta)}}.$$

Hence,

$$D_k^{13} D_\ell^{13 - \frac{1+\delta}{2}} < \frac{\delta(1 + \delta) A^d \Gamma(1 + \delta)^d \zeta_\ell(1 + \delta)}{(R_\ell/w_\ell)(2\pi)^{d(1+\delta)}}.$$

As  $D_k^2 \leq D_\ell$ , and  $\zeta_\ell(1 + \delta) \leq \zeta(1 + \delta)^{2d}$ , we conclude that

$$D_k^{38-\delta} < \frac{\delta(1 + \delta) A^d \Gamma(1 + \delta)^d \zeta(1 + \delta)^{2d}}{(R_\ell/w_\ell)(2\pi)^{d(1+\delta)}}.$$

Therefore,

$$D_k^{1/d} < \left[ \left\{ A \frac{\Gamma(1+\delta)\zeta(1+\delta)^2}{(2\pi)^{1+\delta}} \right\} \cdot \left\{ \frac{\delta(1+\delta)}{R_\ell/w_\ell} \right\}^{1/d} \right]^{1/(38-\delta)}.$$

Using the lower bound  $R_\ell \geq 0.02w_\ell e^{0.1d}$  due to R. Zimmert [Z], we obtain from this the following

$$(26) \quad D_k^{1/d} < f(d, \delta) := \left[ \left\{ A \frac{\Gamma(1+\delta)\zeta(1+\delta)^2}{(2\pi)^{1+\delta}e^{0.1}} \right\} \cdot \{50\delta(1+\delta)\}^{1/d} \right]^{1/(38-\delta)}.$$

From bound (20) we also obtain,

$$(27) \quad D_\ell/D_k^2 < \left[ A^d \frac{h_\ell}{D_k^{39}} \right]^{1/13}.$$

Furthermore, using (25) and Zimmert's bound  $R_\ell \geq 0.02w_\ell e^{0.1d}$ , we get from this that

$$(28) \quad D_\ell/D_k^2 < \mathfrak{p}(d, D_k, \delta) := \left[ \left\{ A \frac{\Gamma(1+\delta)\zeta(1+\delta)^2}{(2\pi)^{1+\delta}e^{0.1}} \right\} \cdot \left\{ \frac{50\delta(1+\delta)}{D_k^{38-\delta}} \right\}^{1/d} \right]^{2d/(25-\delta)}.$$

**5.2.** For a fixed  $\delta$ ,  $f(d, \delta)$  clearly decreases as  $d$  increases. By a direct computation we find that  $f(3, 2) < 2.3$ , and hence for all  $d \geq 3$ ,

$$D_k^{1/d} < f(d, 2) \leq f(3, 2) < 2.3.$$

But according to Proposition 2, for totally real number fields of degree  $d \geq 3$ ,  $D_k^{1/d} > 3.65$ , so we conclude that  $d \leq 2$ .

Assume now that  $d = 2$ . Then  $D_k^{1/2} \leq f(2, 1.94) < 2.4$ . Therefore  $D_k < 6$  and hence  $D_k = 5$ . It follows from bound (28) with  $\delta = 1.9$  that  $D_\ell/D_k^2 < \mathfrak{p}(2, 5, 1.9) < 1.4$ . Hence  $D_\ell/D_k^2 = 1$  and  $D_\ell = 25$ , which contradicts the bound given by Proposition 2. Hence,  $d = 1$  and  $k = \mathbb{Q}$ .

It is known, and follows, for example, from Proposition 7.1 of [PR], that a  $\mathbb{Q}$ -group  $G$  of type  ${}^2E_6$ , which at the unique real place of  $\mathbb{Q}$  is the outer form of rank 2 (this is the form  ${}^2E_{6,2}^{16'}$  which gives rise to a hermitian symmetric space), is isotropic over  $\mathbb{Q}$ . This contradicts the fact that  $G$  is anisotropic over  $\mathbb{Q}$  (1.5), and hence we conclude that groups of type  ${}^2E_6$  do not give rise to arithmetic fake compact hermitian symmetric spaces.

## 6. $G$ of type $E_7$

**6.1.** In this section  $G$  is assumed to be of type  $E_7$ . Its dimension is 133, the exponents are 1, 5, 7, 9, 11, 13 and 17. The dimension of the symmetric space  $X$  of  $\mathcal{G} = \prod_{j=1}^r G(k_{v_j})$  is  $27r$ .

Let

$$B = \prod_{j=1}^7 \frac{(2\pi)^{m_j+1}}{m_j!}.$$

From (19) we obtain the following:

$$D_k^{1/d} < [2B(h_{k,2}/2)^{1/d}]^{2/133}.$$

Using the Brauer-Siegel Theorem for totally real number fields (see 4.1), and the obvious bound  $\zeta_k(1+\delta) \leq \zeta(1+\delta)^d$ , we obtain

$$(29) \quad D_k^{1/d} < \left[ \left\{ B \frac{\Gamma((1+\delta)/2)\zeta(1+\delta)}{\pi^{(1+\delta)/2}} \right\} \cdot \left\{ \frac{\delta(1+\delta)}{R_k} \right\}^{1/d} \right]^{2/(132-\delta)}.$$

Now using the lower bound  $R_k \geq 0.04e^{0.46d}$  due to R. Zimmert [Z] again, we get

$$(30) \quad D_k^{1/d} < \phi(d, \delta) := \left[ \left\{ B \frac{\Gamma((1+\delta)/2)\zeta(1+\delta)}{\pi^{(1+\delta)/2}e^{0.46d}} \right\} \cdot \left\{ 25\delta(1+\delta) \right\}^{1/d} \right]^{2/(132-\delta)}.$$

**6.2.** For a fixed  $\delta \geq 0.04$ ,  $\phi(d, \delta)$  clearly decreases as  $d$  increases. By a direct computation we see that  $\phi(2, 4) < 2$ , and hence for all totally real number field  $k$  of degree  $d \geq 2$ ,

$$D_k^{1/d} < \phi(d, 4) \leq \phi(2, 4) < 2.$$

From this bound and Proposition 2 we conclude that  $d$  can only be 1, i.e.,  $k = \mathbb{Q}$ . But then  $r = 1$  and the complex dimension of the associated symmetric space  $X$  is 27. Then the Euler-Poincaré characteristic of any quotient of  $X$  by a cocompact torsion-free discrete subgroup of  $\bar{G}$  is negative (1.3), and hence it cannot be a fake compact hermitian symmetric space. Another way to eliminate this case is to observe that an absolutely simple  $\mathbb{Q}$ -group of type  $E_7$  is isotropic if it is isotropic over  $\mathbb{R}$  (this result follows, for example, from Proposition 7.1 of [PR]).

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